SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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0.5

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

				200000000								
1. Name and Addre		Person*		ssuer Name <mark>and</mark> Tie SmeTrust Ban			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Stonestreet I	<u>Jana L.</u>				<u>,                                     </u>		X	Director	10% 0	Owner		
(Last)	(First)	(Middle)	3. [	Date of Earliest Trar	isaction (Mon	th/Day/Year)	x	Officer (give title below)	Other below	(specify )		
C/O HOMETR	UST BANCS	HARES, INC.	12/	/02/2021				Chairman and CEO				
10 WOODFIN	STREET											
			4. If	f Amendment, Date	of Original Fi	ed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	NC	28801					X	Form filed by One	Reporting Pers	son		
		20001						Form filed by Mor Person	e than One Rep	orting		
(City)	(State)	(Zip)						Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an	id 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		

	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)				-, <b>,</b>	Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/02/2021		М		5,000	Α	\$14.37	205,464	D	
Common Stock	12/02/2021		S		5,000	D	\$30.0355(1)	200,464	D	
Common Stock								66,672	I	By KSOP
Common Stock								19,200	I	By Spouse

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(cigi, pulo, cuilo, martanto, optiono, convertible occurrito)															
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date curity or Exercise (Mon		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option	\$14.37	12/02/2021		м			5,000	(2)	02/11/2023	Common Stock	5,000	\$0	15,000	D	
Stock Option	\$26							(3)	02/11/2028	Common Stock	130,500		130,500	D	

Explanation of Responses:

1. The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.00 to \$30.10, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. Represents stock option granted under Issuer's 2013 Omnibus Insentive Plan with following vesting schedule: 20% increments on February 11, 2014, 2015, 2016, 2017 and 2018.

3. Represents stock option granted under Issuer's 2013 Omnibus Insentive Plan with following vesting schedule: 20% increments on February 11, 2019, 2020, 2021, 2022 and 2023.

/s/ Tony J. VunCannon,

Attorney-in-Fact for Dana L. 12/03/2021

**Stonestreet** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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