## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |   |       | or Section 30(n) of the investment Company Act of 1940                                  |                   |  |                       |  |  |  |
|--|---|-------|---|-------------------|--|-----------------------|--|--|--|
| 1. Name and Address of Reporting Person* |   |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>HomeTrust Bancshares, Inc. [HTBI] |                   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                       |  |  |  |
| <u>Koontz Craig C.</u>                   |   |       | ,,,,,,,   | X                 | Director   | 10% Owner             |  |  |  |
|  | (First) (Middle)<br>OMETRUST BANCSHARES, INC. |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/07/2018                          |                   | Officer (give title below)   | Other (specify below) |  |  |  |
| 10 WOODFIN STREET                        |   |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                | 6. Indiv<br>Line) | ividual or Joint/Group Filing (Check Applicable                            |                       |  |  |  |
| (Street)                                 |   |       |   | X                 | Form filed by One Re   | eporting Person       |  |  |  |
| ASHEVILLE                                | NC NC   | 28801 |   |                   | Form filed by More th<br>Person  | an One Reporting      |  |  |  |
| (City)                                   | (State)                                       | (Zip) |   |                   |  |                       |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | if any |      |   |        |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|--------|------|---|--------|---------------|-------------------|---|---|---|--|
|                                 |  |        | Code | v | Amount | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |  |
| Common Stock                    | 12/07/2018                                 |        | G    | v | 600    | D             | \$ <mark>0</mark> | 31,129  | D   |   |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) o<br>Dispe<br>of (D | r<br>osed<br>)<br>r. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/M | te of Securities   |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|--|---------------------------|--|--------------------|-----------------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                       | Date<br>Exercisable                            | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |
| Stock<br>Option                                     | \$14.37   |  |   |                              |   |  |                           | (1)  | 02/11/2023         | Common<br>Stock | 32,000  |  | 32,000   | D  |  |
| Stock<br>Option                                     | \$26  |  |   |                              |   |  |                           | (2)  | 02/11/2028         | Common<br>Stock | 1,400   |  | 1,400  | D  |  |

Explanation of Responses:

1. Represents stock option granted under Issuer's 2013 Omnibus Incentive Plan with the following vesting schedule: 20% increments on February 11, 2014, 2015, 2016, 2017 and 2018.

2. Represents stock option granted under Issuer's 2013 Omnibus Incentive Plan with the following vesting schedule: 100% on February 11, 2019.

**Remarks:** 

/s/ Tony J .VunCannon,

Attorney-in-Fact for Craig C.

Koontz

\*\* Signature of Reporting Person Date

12/10/2018

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |
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